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Intellectual Property Administration  
P. O. Box 272400  
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PATENT APPLICATION

ATTORNEY DOCKET NO. 10003355-1

2152  
#2  
BT  
12-1001

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Vasanth Bala, et al.

Confirmation No.: 7644

Application No.: 09/874,170

Examiner: not assigned

Filing Date: June 04, 2001

Group Art Unit: 2152

Title: A Networked Client-Server Architecture For Transparently Transforming And Executing Applications

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

RECEIVED

AUG 22 2001

Technology Center 2100

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ( ) under 37 CFR 1.97 (c) together with either a:
  - ( ) Statement under 37 CFR 1.97(e), or
  - ( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:
  - ( ) Statement under 37 CFR 1.97(e), and
  - ( ) a petition under 37 CFR 1.97(d)(2), and
  - ( ) a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Vasanth Bala, et al.

By

David A. Plettn r

Attorney/Agent for Applicant(s)

Reg. No. 36,241

Date: Aug. 16, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: Aug 16, 2001

Typed Name: Jerome Dechant

Signature:

*Jerome Dechant*

## PATENT APPLICATION

FORM PTO-1449

AUG 20 2001

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LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

APPLICANT

Vasantha Bala, et al.

RECEIVED

FILING DATE

GROUP

AUG 22 2001

June 4, 2001

2152

(Use several sheets if necessary)

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## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB<br>CLASS |
|---------------------|----|--------------------|------|------|-------|--------------|
|                     | AA |                    |      |      |       |              |
|                     | AB |                    |      |      |       |              |
|                     | AC |                    |      |      |       |              |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB<br>CLASS |
|--|----|--------------------|------|------|-------|--------------|
|  | AD |                    |      |      |       |              |
|  | AE |                    |      |      |       |              |
|  | AF |                    |      |      |       |              |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|  |    |   |
|--|----|---|
|  | AG | V. Bala, et al., Transparent Dynamic Optimization, HP Laboratories Cambridge, 06/99, pp. 1-17   |
|  | AH | A. Klaiber, The Technology Behind Crusoe™ Processors, Transmeta Corp., 01/00, pp. 1-18  |
|  | AI | V. Bala, et al., Dynamo: A Transparent Dynamic Optimization System, HP Laboratories Cambridge, pp. 1-12                                 |
|  | AJ | V. Bala, et al., Transparent Dynamic Optimization: The Design and Implementation of Dynamo, 06/99, HP Laboratories Cambridge, pp. 1-102 |
|  | AK |   |

EXAMINER

DATE CONSIDERED

Rev 5/90 (Form 3.05)